

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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	:
<b>In re</b>	:
	:
<b>LEHMAN BROTHERS HOLDINGS INC., et al.,</b>	:
	:
<b>Debtors.</b>	:
	:
-----x	
	<b>Chapter 11 Case No.</b>
	<b>08-13555 (SCC)</b>
	<b>(Jointly Administered)</b>
	<b>Ref. Docket No. 51757</b>

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 11, 2016, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
28<sup>th</sup> day of January, 2016

/s/ Sidney J. Garabato  
Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE OF DEFECTIVE TRANSFER**

HSBC TRUSTEE (C.I.) LIMITED  
IN ITS CAPACITY AS TRUSTEE IN RELATION TO THE ISSUE OF THE  
QUARTZ SERIES 2001-3 EUR44,913,000 ZERO COUPON FUND-LINKED PRINCIPAL  
PROTECTED NOTES DUE 2009 (ISIN:XS0135569621) BY QUARTZ FINANCE LIMITED  
ATTN: JACKI F. BRAID  
P.O BOX 88, 1 GRENVILLE STREET  
ST HELIER JERSEY JE49PF  
UNITED KINGDOM

BAR(23) MAILID \*\*\* 000101493606 \*\*\*

LBH DEFTRFNCT (TRFDFDAT, TRFDEFNUM) 1001



AVIVA LIFE S.P.A.  
CHIEF EXECUTIVE OFFICER  
VIA ANGELO SCARSELLINI 14  
MILAN 20161  
ITALY

**Your transfer of claim # 18873 is defective for the reason(s) checked below:**

Other Estimated at \$0.00

Docket Number 51757

Date: 12/16/2015

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

## **EXHIBIT B**

Claim Name	Address Information
AVIVA LIFE S.P.A.	CHIEF EXECUTIVE OFFICER, VIA ANGELO SCARSELLINI 14, MILAN 20161 ITALY
HSBC TRUSTEE (C.I.) LIMITED	IN ITS CAPACITY AS TRUSTEE IN RELATION TO THE ISSUE OF THE, QUARTZ SERIES 2001-3 EUR44,913,000 ZERO COUPON FUND-LINKED PRINCIPAL, PROTECTED NOTES DUE 2009 (ISIN:XS0135569621) BY QUARTZ FINANCE LIMITED, ATTN: JACKI F. BRAID, P.O BOX 88, 1 GRENVILLE STREET, ST HELIER JERSEY JE49PF UNITED KINGDOM

Total Creditor Count 2